

UNITED STATES OF AMERICA  
before the  
COMMODITY FUTURES TRADING COMMISSION

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In the Matter of:

Whistleblower Award Claim of **Claimant** – Form WB-APP 2012-12-07-01

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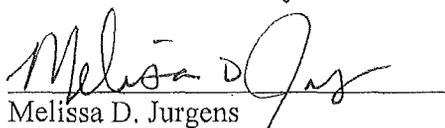
**ORDER**

Attached is the Commodity Futures Trading Commission Determination pertaining to the whistleblower award claim of **Claimant**, submitted on Form WB-APP 2012-12-07-01.

Pursuant to the Commission's Whistleblower Rules (17 C.F.R. Part 165), the Whistleblower Award Determination Panel is authorized to make whistleblower award determinations for the Commission. Therefore, as set forth in the attached, it is the Determination and Final Order of the Commission that this whistleblower award claim be denied.

In accordance with 7 U.S.C. § 26(f) and 17 C.F.R. § 165.13, if you wish to appeal this Final Commission Determination and Order, you must appeal to the appropriate court of appeals of the United States not more than 30 days after this Final Order of the Commission is issued.

Decision Issued: Aug. 9, 2013



Melissa D. Jurgens  
Secretary of the Commission  
Commodity Futures Trading Commission  
1155 21<sup>st</sup> Street, N.W.  
Washington, DC 20581

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**WHISTLEBLOWER AWARD DETERMINATION**

Before the Whistleblower Award Determination Panel of the Commodity Futures Trading Commission (Commission) is the whistleblower award claim of **Claimant** (Claimant), filed on Form WB-APP 2012-12-07-01.

**IT IS HEREBY DETERMINATION that:**

1. The claim is denied because the Claimant first provided information about the matter at issue to the Commission before July 21, 2010. Sections 23(b)(1) and 23(k) of the CEA [7 U.S.C. §§ 26(b)(1), 26(k)], and Rule 165.2(k)(4) [17 C.F.R. § 165.2(k)(4)], require an individual to provide “original information” to the Commission for the first time after July 21, 2010. Furthermore, any information that the Claimant may have provided after July 21, 2010 did not significantly contribute, nor was it a meaningful nexus, to the Commission’s ability to successfully complete its investigation, and to either obtain a settlement or prevail in a litigated proceeding. Rule 165.2(i)(2) [17 C.F.R. § 165.2(i)(2)] requires any original information about conduct already under investigation by the Commission to significantly contribute to the success of the action.

Dated: July 2, 2013

Whistleblower Award Determination Panel  
Commodity Futures Trading Commission  
1155 21<sup>st</sup> Street, N.W.  
Washington, DC 20581